

Instructions RIX and Monetary  
Policy Instruments

## **Annex A9 Enquiries and Reports**

Annex to RIX-RTGS Instructions

January 2022

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## 1 Enquiries in RIX-RTGS Online

Enquiries in RIX-RTGS Online are categorised under different headings

Accounts	Accounting enquiries	1.1
Position	Settlement position enquiries	1.2
Payment instructions	Funds settlement enquiries	1.3
Schedule	Operating schedule enquiries	1.4
Credits	Credit facility enquiries	1.5
Participants and processes	Settlement participant and agreement enquiries	1.6
Charges	Charges enquiries	1.7
Statistics	Statistical enquiries	1.8
Messages and system log	System and message log enquiries	1.9
System and installation parameters	System and installation parameter enquiries	1.10
Own profile	Settlement bank's profile enquiries	1.11

## 1.1 Accounts – Accounting enquiries

### 1.1.1 Account statement

Designation:	AC001 – Account statement
Choices:	<ul style="list-style-type: none"><li>- Account to be reported</li><li>- Value Day(s) to be reported</li><li>- If relevant, calendar date (and time interval)</li><li>- How the sender's or system's reference number should be shown</li></ul>
Content:	<p>Reporting of all transactions that are booked on the account in question and match selections made, with information on</p> <ul style="list-style-type: none"><li>- Time of settlement</li><li>- Sequence number</li><li>- Type of transaction and counterpart</li><li>- Receiver code</li><li>- Reference number as per selection made. If the sender's reference number is selected (field 20), it is preceded by the system's code for the sender and send date (MMDD) of the message. Hyperlink to Payment instruction details (see 1.3.2).</li><li>- Any Authorised Settlement Agent agreement</li><li>- Any Concurrent Batch</li><li>- Debited amount</li><li>- Credited amount</li><li>- Current balance (Cr = positive balance and Dr = negative balance)</li></ul> <p>If the report is generated after the close of the Value Day, the debited and credited amounts are totalled.</p>
Available to:	Account holder
Exists as standard report:	Yes, AC001 for Settlement Account, AC002 for loan account and AC003 for LOM account
Can be used for:	Settlement of accounts and transactions.

### 1.1.2 Interest paid to or received from the Riksbank

Designation:	Information can be found using FS001 Fund Settlement Instruction list (see 1.3.1).
Choices:	<ul style="list-style-type: none"><li>- Which Value Days are to be covered.</li></ul>
Content:	<p>Reports the interest amount if selection is made for Receiver Code = RAR.</p> <p>Details on the calculation of interest is found in notification, MT 900 or MT 910, sent to the Account holder. See further Annex A2 to RIX-RTGS Instructions, section 5.14 and sub-annex A2:11.</p>

Available to: Account holder

Exists as standard report: No

Can be used for: Confirmation that interest was calculated correctly.

### 1.1.3 Interest rates

Designation: AC008 – Interest rates

Choices: - The period the report is to cover  
- Which account, *Settlement account* or *Loan account* the report is to cover

Content: The interest rates that apply or applied to the account in question. Since the central bank does not apply the principle of different interest rates for different amount intervals, all interest rates are given for the interval 0.00 – Unlimited.

Available to: Account holder

Exists as standard report: No

Can be used for: Checking which interest rates apply or applied.

### 1.1.4 Interest payable by the central bank

Designation: AC009 – Interest payable by the central bank to settlement bank

Choices: - Which Value Days are to be covered.

Content: Reports how interest on the Settlement Account is calculated with information on

- Value Day
- When the interest debit was created
- Balance of the Settlement Account on which interest was calculated
- Interest rate
- Number of days for which interest was calculated
- Interest amount

Available to: Account holder

Exists as standard report: No

Can be used for: Confirmation that interest was calculated correctly.

## 1.2 Position – Settlement positions enquiries

These enquiries correspond to information that can be obtained in Position monitor and via hyperlinks there.

### 1.2.1 LOM position

Designation:	LM001 Bank's consolidated LOM position
Choices:	<ul style="list-style-type: none"><li>- Which LOM or LOMs should be covered</li><li>- Whether Payment instructions in the Conditional Release Mechanism are to be covered</li></ul>
Content:	<p>The following is reported for each selected LOM and consolidated</p> <ul style="list-style-type: none"><li>- LOM account balance</li><li>- Number of outgoing Payments in liquidity queue</li><li>- Value of outgoing Payments in liquidity queue</li><li>- Value of incoming Payments in liquidity queue</li><li>- Net of outgoing and incoming Payments in liquidity queue</li><li>- Calculated LOM account balance if all Payment instructions in the liquidity queue are effected</li><li>- The value of outgoing Payment instructions in the liquidity queue in relation to the total value of Payment instructions settled during that date and Payment instructions in the liquidity queue</li><li>- Time point that latest Payment was settled</li><li>- Whether there is a bottleneck in the form of priority conditions that cause queued Payment instructions that could be settled with available liquidity to be delayed because there is a Payment of higher priority that cannot be settled</li></ul> <p>If Payment instructions in the Conditional Release Mechanism are covered, the following is reported for each LOM selected and consolidated:</p> <ul style="list-style-type: none"><li>- Value of outgoing Payment instructions in Conditional Release Mechanism</li><li>- Value of incoming Payment instructions in Conditional Release Mechanism</li><li>- Calculated LOM account balance if all outgoing and incoming Payment instructions in the liquidity queue and the Conditional Release Mechanism are settled</li></ul>
Available to:	Account holder
Exists as standard report:	No
Can be used for:	To analyse queues

### 1.2.2 Payment instructions in conditions and liquidity queues

Designation:	LM004 – Queued and diarised FSIs listed per priority
Choices:	<ul style="list-style-type: none"><li>- Which LOM is to be covered.</li><li>- If only outgoing Payment instructions, only incoming Payment instructions or all Payment instructions are to be covered.</li></ul>
Content:	<p>The following is reported for selected Payment instructions</p> <ul style="list-style-type: none"><li>- Status</li><li>- Priority class</li><li>- Number of outgoing messages with the status and priority class in question</li><li>- Value of outgoing messages with the status and priority class in question</li><li>- Time when the oldest message with the status and priority class in question was queued up.</li><li>- Number of incoming messages with the status and priority class in question</li><li>- Value of incoming messages with the status and priority class in question</li></ul>
Available to:	Account holder
Exists as standard report:	No
Can be used for:	If there are many messages in queue with different priority classes, the report can be used for analysis.

### 1.2.3 Consolidated position

Designation:	PR001 – Settlement bank's consolidated position
Choices:	
Content:	<p>Report equivalent to what can be seen in Classic Position monitor:</p> <ul style="list-style-type: none"><li>- Conditional Release Mechanism balance (<i>Settlement account</i>)</li><li>- Loan account balance (<i>Loan account</i>)</li><li>- -Sum of main account and loan account balances (<i>Settlement position</i>)</li><li>- Total credit capacity (<i>Credit limits</i>)</li><li>- Utilised credit capacity (<i>Utilised</i>)</li><li>- -Available (unutilised) credit capacity (<i>Total available credit</i>)</li><li>- -Total available liquidity of RTM, i.e. sum of Settlement Account balance and available credit capacity (<i>Estimated RTM capacity</i>)</li></ul>

The following is reported for each LOM

- LOM account balance
- Number of outgoing Payment instructions in the liquidity queue
- Value of outgoing Payment instructions in the liquidity queue
- Amount of the first Payment instruction in the liquidity queue
- Number of incoming Payment instructions in the liquidity queue
- Value of incoming Payment instructions in the liquidity queue
- Calculated balance if all outgoing and incoming Payment instructions in the liquidity queue are settled
- The value of outgoing Payment instructions in the liquidity queue in relation to the total value of Payment instructions settled during that date and Payment instructions in the liquidity queue
- Time point that latest Payment was settled
- Whether there is a bottleneck in the form of priority conditions that cause queued Payment instructions that could be effected with available liquidity to be delayed because there is a Payment of higher priority that cannot be settled

The following is given for the Payment instructions in the Conditional Release Mechanism (excluding Concurrent Batch)

- Total value of outgoing Payment instructions
- Total value of incoming Payment instructions
- Total value of Payment instructions for Liquidity Transfers

The following is reported for Payment instructions that are part of a Concurrent Batch

- Authorised Settlement Agent agreement the Payment regards
- Type of condition
- Which LOM it regards
- Number of the Concurrent Batch
- Anticipated time point of settlement
- Account holder's position in the Concurrent Batch (- means net payment)

Available to: Account holder

Exists as standard report: No

Can be used for: To check queues in different LOMs



#### 1.2.4 Consolidated position

Designation: PR010 – Bank’s position: Consolidated view

Choices:

Content: Report equivalent to what can be seen in Consolidated Position monitor:

The following is reported for each settlement process and consolidated:

- Balance of account tied to the process, i.e. Settlement Account or LOM account (*Account balance*)
- Loan account balance (only RTM and consolidated) (*Loan account balance*)
- Net balance of account tied to the process and loan account (only RTM and consolidated) (*Account position*)
- Total credit capacity (*Credit limits*)
- Utilised credit capacity (*Total utilised*)
- Available (unutilised) credit capacity (*Available credit*)
- Total available liquidity for resp. process, i.e. total of balance of account tied to the process and available credit capacity (only RTM and consolidated) (*Balance plus available credit*)
- Value of incoming Payment instructions in the liquidity queue (*Receivable values*)
- Value of outgoing Payment instructions in the liquidity queue (*Payable values*)
- The net of incoming and outgoing Payment instructions in the liquidity queue (*Net position*)
- Sum of total available liquidity for resp. process and the net of incoming and outgoing Payment instructions in the liquidity queue (*Balance plus available credit and queued*)
- Sum of the net of incoming and outgoing Payment instructions in the Conditional Release Mechanism with specified Settlement Day same as current date (*Diarised for current FSVD net*)
- Sum of total available liquidity for resp. process and the net of incoming and outgoing Payment instructions in the liquidity queue and Conditional Release Mechanism with Value Day same as current date (*Balance plus available credit, queued and diarised*)

There are links to

- Report of account movements (*Accounts*) (see 1.2.8)
- Report on participant's collateral, etc. (*Credit facility collateral values*) (see sub-appendix 9:1, section 1)
- Report on eligible financial instruments (*List of eligible FIs*) (see sub-appendix 9:1, section 2)

Sum of the net of incoming and outgoing Payment instructions in the Conditional Release Mechanism with specified Value Day same as current date (Diarised for current FSVD net) (see selected parts of 1.2.7)

Available to: Account holder  
Exists as standard report: No  
Can be used for: An overview of account positions

#### 1.2.5 Volumes for current FSVD

Designation: PR011 - Bank's position: Volumes for current FSVD  
Choices:  
Content: The following is reported for each settlement process and consolidated:  
- Number of incoming and outgoing Payment instructions settled  
- Number of incoming and outgoing Payment instructions in the liquidity queue  
- Number of incoming and outgoing Payment instructions in the Conditional Release Mechanism with anticipated Value Day the same as the current date  
- Total number of incoming and outgoing Payment instructions  
- Net of total incoming and outgoing Payment instructions  
  
The report can also be obtained as a link from *Consolidated Position monitor (Volumes)*  
Available to: Account holder  
Exists as standard report: Yes  
Can be used for: For a quick view of that day's volumes.

#### 1.2.6 Conditional Release Mechanism categorised by type of condition

Designation: PR012 – Bank's position: Diarised per reason  
Choices: - Settlement Day the report should cover  
Content: The following is reported consolidated and for each settlement process categorised by type of condition  
- Number and value of outgoing and incoming Payment instructions in the Conditional Release Mechanism with anticipated Value Day the same as the current date (excluding those part of Concurrent Batch)  
  
The following is reported for Concurrent Batches with anticipated Value Day the same as the current date:

- Authorised Settlement Agent agreement the Payment regards
- Which LOM it regards
- Number of the Concurrent Batch
- Type of condition
- Account holder's position in the Concurrent Batch (- means net payment)
- Anticipated time point of settlement

The following is reported consolidated and for each settlement process

- Net of outgoing and incoming Payment instructions in the Conditional Release Mechanism with anticipated Value Day later than the current date.

Link to report of Conditional Release Mechanism per Value Day (see 1.2.7)

Available to:	Account holder
Exists as standard report:	Yes
Can be used for:	An overview of Conditional Release Mechanism.

#### 1.2.7 Conditional Release Mechanism per Value Day

Designation:	PR013 – Bank's position: Diarised per FSVD
Choices:	
Content:	<p>The following is reported consolidated and categorised by Value Day</p> <ul style="list-style-type: none"> <li>- Number and value of outgoing and incoming Payment instructions in Conditional Release Mechanism (excluding those part of Concurrent Batch)</li> </ul> <p>Link to report per Value Day of the Conditional Release Mechanism categorised by reason (see 1.2.6)</p> <p>The following is reported for Concurrent Batches:</p> <ul style="list-style-type: none"> <li>- Value Day</li> <li>- Authorised Settlement Agent agreement the Payment regards</li> <li>- Which LOM it regards</li> <li>- Number of the Concurrent Batch</li> <li>- Type of condition</li> <li>- Account holder's position in the Concurrent Batch (- means net payment)</li> <li>- Anticipated time point of settlement</li> </ul>
Available to:	Account holder

Exists as standard report: Yes

Can be used for: An overview of Conditional Release Mechanism

#### 1.2.8 Account movements

Designation: PR014 – Bank’s position: Account movements

Choices:

Content: The following is reported for each account and consolidated:

- Starting balance
- Amounts received
- Amounts paid
- Net of Liquidity Transfers
- Net of loan transactions
- Closing balance

Available to: Account holder

Exists as standard report: Yes

Can be used for: Quick confirmation of account movements during the day.

#### 1.2.9 Total volumes and values in RIX-RTGS

Designation: PR016 – Industry position: Total volumes and values

Choices:

Content: Not available.

#### 1.2.10 Participant’s collateral, etc.

Designation: PR090 – Bank’s position: Collateral reserved for RTGS settlement

Choices:

Content: See sub-appendix 9:1 section 1.

Available to: Account holder

Exists as standard report: No

Can be used for: Check of which collateral is pledged and the value of such; information on balances of accounts and credits used for liquidity settlement of securities transactions.

#### 1.2.11 Total collateral in RIX-RTGS

Designation: PR091 – Industry position: Total collateral reserved for RTGS

Choices:

Content: Not available.

#### 1.2.12 Eligible financial instruments

Designation: PR092 – Industry position: List of eligible FIs for RTGS settlement

Choices:

Content: See sub-appendix 9:1 section 2.

Available to: Account holder

Exists as standard report: No

Can be used for: Check of what collateral is available and the value prices involved.

### 1.3 Payment instructions – Funds settlement enquiries

#### 1.3.1 List of Payment instructions

Designation: FS001 – Payment instruction list

- Choices:
- Sort order
    - Time the Payment instruction was processed (standard)
    - Time the Payment instruction was registered
    - Receiving account holder and time the Payment instruction was processed
    - Paying account holder and time the Payment instruction was processed
    - Priority class and time the Payment instruction was processed
  - Which settlement processes are to be covered.
    - RTM
    - LOM
    - Or both
  - Sender. For system-generated Payment instructions (interests, charges, etc), RIX-RTGS is the sender.
  - Paying account holder
  - Receiving account holder
  - Receiver code
  - Any Authorised Settlement Authorised Settlement Agent agreement used
  - Specific LOM
  - Payment instruction status
  - Type of Payment instruction (*CB* = Concurrent Batch, *no PI* = MT 202, *PI*= MT 103)
  - Number of Concurrent Batch
  - Priority class interval
  - Amount interval
  - Selection of date, etc.
    - Interval of (intended) Value Days with or without addition of interval of system's internal reference numbers (standard)
    - Specific or interval of sender's own reference numbers (field 20) combined with send date. In this case, sender must also be specified.
    - Time interval, day and (if relevant) time of processing



- Content: The following is reported for each Payment instruction
- Paying account holder
  - Receiving account holder
  - RIX-RTGS internal reference number. Hyperlink to Payment instruction details (see 1.3.2)
  - Time of processing, provided registration time is not selected. If registration time is selected, this is reported. Registration time regards registration on list of approved Payment instructions in RIX-RTGS.
  - Sender's reference number (field 20), preceded by the system's code for the sender and send date (MMDD) of the message
  - Related reference number (field :21)
  - Receiver code
  - Number of relevant LOM, provided the message is to be applied to a LOM process
  - Any priority class
  - Any related Authorised Settlement Agent agreement and number of Concurrent Batch
  - Whether message was or is in the Conditional Release Mechanism
  - Latest status
  - Amount

The number of messages and total amount are shown in consolidated form.

If sorting of receiving or paying account holder is selected, subtotals of number of messages and amounts are reported per counterpart.

Available to: Account holder and Authorised Settlement Agent

Exists as standard report: Yes

Can be used for: Search for individual Payment instructions or compile Payment instructions that fulfil certain conditions.

### 1.3.2 Payment instruction details

Designation: FS002 – Payment instruction detail

- Choices:
- Specific or all senders. For system-generated Payment instructions (interests, charges, etc), RIX-RTGS is the sender.
  - RIX-RTGS internal reference number of the Payment instruction (or interval of such numbers)
  - Sender's reference number for the Payment instruction (field :20) (or interval of such numbers). A specific sender must have been selected.

Content:	The following is reported for each Payment instruction <ul style="list-style-type: none"> <li>- All details in the message using RIX-RTGS internal field codes.</li> <li>- Content of the SWIFT message (not for system-generated Payment instructions)</li> <li>- Life cycle of the Payment instruction with information on the time of status changes</li> <li>- Notifications sent as the result of the Payment instruction.</li> </ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Obtaining information on details in a Payment instruction

### 1.3.3 List of Concurrent Batch of Payment instructions

Designation:	FS004 – Concurrent batch summary
Choices:	<ul style="list-style-type: none"> <li>- Which Authorised Settlement Agent agreement is to be covered (if the Authorised Settlement Agent has several)</li> <li>- Value Day or Value Day interval</li> </ul>
Content:	The following is reported for each Concurrent Batch <ul style="list-style-type: none"> <li>- The Authorised Settlement Agent agreement used</li> <li>- Settlement Day and sequence number of the Concurrent Batch <a href="#">Hyperlink to 1.3.4.</a></li> <li>- Current status</li> <li>- Time of processing in RIX-RTGS</li> <li>- Type of settlement process</li> <li>- Number of any LOM</li> <li>- Anticipated Value Day</li> <li>- Priority</li> <li>- Number of accepted Payment instructions</li> <li>- If relevant, reason for Payment instruction rejection</li> <li>- Total amount of all Payment instructions in the Concurrent Batch</li> </ul>
Available to:	Authorised Settlement Agent
Exists as standard report:	Yes
Can be used for:	Search for Concurrent Batches

#### 1.3.4 Concurrent Batch details

Designation:	FS005 – Concurrent batch detail
Choices:	<ul style="list-style-type: none"><li>- Authorised Settlement Agent agreement</li><li>- Sequence number of the Concurrent Batch</li><li>- Whether the constituent Payment instructions are to be reported</li><li>- Intended Value Day</li></ul>
Content:	<p>The following is reported for each Concurrent Batch</p> <ul style="list-style-type: none"><li>- In addition to the information in FS004 (see 1.3.3), the following is reported<ul style="list-style-type: none"><li>- sender</li><li>- time when the first and last Payment instruction were received by RIX-RTGS</li><li>- Net position for each Account holder involved</li><li>- Life cycle of the Concurrent Batch with information on the time of status changes</li><li>- If reporting of constituent Payment instruction is selected, the following is reported:<ul style="list-style-type: none"><li>- Sender</li><li>- Message type</li><li>- RIX-RTGS internal reference number. Hyperlink to Payment instruction details (see 1.3.2)</li><li>- Sender's reference number (field 20), preceded by the system's code for the sender and send date (MMDD) of the message</li><li>- Message status</li><li>- Time of registration in RIX-RTGS as (preliminarily) approved Payment instruction</li></ul></li><li>- Notifications sent as the result of the Concurrent Batch</li></ul></li></ul>
Available to:	Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Obtain information on the details of a Concurrent Batch

#### 1.3.5 List of Liquidity Transfer instructions

Designation:	FS006 – Intra-account transfer instruction list
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Choices:	<ul style="list-style-type: none"> <li>- Specific or all senders. For standing Liquidity Transfers, RIX-RTGS is the sender.</li> <li>- Message status</li> <li>- Value Day or Value Day interval</li> <li>- Selection of account debited or intended to be debited and/or account credited or intended to be credited or selection of relevant LOM.</li> </ul>
Content:	<p>The following is reported for each Liquidity Transfer</p> <ul style="list-style-type: none"> <li>- Time of registration on the list of approved internal Payment instructions</li> <li>- RIX-RTGS internal reference number. Hyperlink to Liquidity Transfer (see 1.3.6)</li> <li>- Sender's reference number (field 20), preceded by the system's code for the sender and send date (MMDD) of the message</li> <li>- Receiver code</li> <li>- Whether message was or is in the Conditional Release Mechanism</li> <li>- Latest status</li> <li>- Account debited or intended to be debited</li> <li>- Account credited or intended to be credited</li> <li>- Type of transfer (specific amount, balance, required amount net or gross)</li> <li>- Liquidity Transfer amount as per Liquidity Transfer instruction (<i>Requested amount</i>)</li> <li>- Actual amount transferred (<i>Actual amount transferred</i>). This amount may be lower than the transfer amount specified in the Liquidity Transfer instruction if there is not enough liquidity available to transfer the entire amount.</li> </ul>
Available to:	Account holder and Authorised Settlement Agent (if the Authorised Settlement Agent agreement covers Liquidity Transfers)
Exists as standard report:	Yes, for account holder
Can be used for:	Search for individual Liquidity Transfer instructions or compile Liquidity Transfers that fulfil certain conditions.

### 1.3.6 Liquidity Transfer instruction details

Designation:	FS007 – Intra account Liquidity Transfer instruction detail
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Choices:	<ul style="list-style-type: none"> <li>- Specific or all senders. For standing Liquidity Transfers, RIX-RTGS is the sender.</li> <li>- RIX-RTGS internal reference number of the Payment instruction (or interval of such numbers)</li> <li>- Sender's reference number for the Payment instruction (field :20) (or interval of such numbers). A specific sender must have been selected.</li> </ul>
Content:	<ul style="list-style-type: none"> <li>- All details in the message using RIX-RTGS internal field codes.</li> <li>- Life cycle of the Liquidity Transfer instruction with information on the time of status changes</li> <li>- Notifications sent</li> </ul>
Available to:	Account holder and Authorised Settlement Agent (if the Authorised Settlement Agent agreement covers Liquidity Transfers)
Exists as standard report:	No
Can be used for:	Obtaining information on details in a Liquidity Transfer instruction

### 1.3.7 Settlement sequence for LOM Payment instructions in the liquidity queue

Designation:	FS008 – Settlement sequence of queued LOM instructions
Choices:	<ul style="list-style-type: none"> <li>- Which LOM is to be reported.</li> <li>- Any choice of priority class</li> </ul>
Content:	<ul style="list-style-type: none"> <li>- Payment instructions reported in the order they arrive for consideration for settlement. The following is reported for each Payment instruction</li> <li>- Priority class</li> <li>- Order – if this is specifically selected through resequencing – otherwise, 999</li> <li>- Time the Payment instruction was placed in the liquidity queue</li> <li>- Receiving account holder</li> <li>- Payment amount</li> <li>- Receiver code</li> <li>- RIX-RTGS internal reference number for the Payment instruction</li> <li>- Sender's reference number (field 20), preceded by the system's code for the sender and send date (MMDD) of the message</li> <li>- Related reference number (field :21)</li> <li>- Any relevant Authorised Settlement Agent agreement</li> </ul>

Available to:	Account holder
Exists as standard report:	No
Can be used for:	If there are many Payment instructions in the liquidity queue, this can be used for analysis. Considering that FIFO is not used, there may be limited need for this.

### 1.3.8 Process order of Payment instructions in Conditional Release Mechanism

Designation:	FS009 – Release sequence of diarised instructions
Choices:	<ul style="list-style-type: none"> <li>- Whether RTM Payment instructions are to be reported</li> <li>- Whether LOM Payment instructions are to be reported. If so, which LOM.</li> <li>- Type of condition</li> </ul>
Content:	<p>Payment instructions, including Liquidity Transfers (Payment instructions for Concurrent Batch are reported as one post), are reported per settlement process (if both RTM and LOM) and per condition in the order they are expected to be transferred to the liquidity queue once the condition is fulfilled.</p> <p>The following is reported per message (post):</p> <ul style="list-style-type: none"> <li>- Settlement process</li> <li>- Type of condition</li> <li>- Earliest settlement time point or event</li> <li>- Priority class</li> <li>- Order – if this is specifically selected through resequencing</li> <li>- Time the message was placed in the Conditional Release Mechanism, provided the order was not changed after such</li> <li>- Receiving account holder</li> <li>- Payment amount</li> <li>- Receiver code</li> <li>- RIX-RTGS internal reference number for the Payment instruction</li> <li>- Sender's reference number (field 20), preceded by the system's code for the sender and send date (MMDD) of the message</li> <li>- Any relevant Authorised Settlement Agent agreement</li> </ul>
Available to:	Account holder
Exists as standard report:	Yes

Can be used for: Analyse the Conditional Release Mechanism if it is large.

### 1.3.9 List of Payment instructions other than request for Payment

Designation: MI001 – Manage FSI instruction list

Choices:

- Sender
- -Whether all messages or just a certain type of message – activate (*activate*) , reprioritise (*reprioritise*) , resequence (*resequence*),, diarise queued (*diarise queued*) or remove (*remove*) – are/is to be reported
- Message status

Content: The following is reported for each message

- RIX-RTGS internal reference number for the message (Hyperlink to detail, see 1.3.10)
- Sender’s reference number (field 20), preceded by the system’s code for the sender and send date (MMDD) of the message
- Message type
- Status
- RIX-RTGS internal reference number for underlying Payment instruction with request for Payment (Hyperlink to detail, see 1.3.2)
- Sender’s reference number (field 20), preceded by the system’s code for the sender and send date (MMDD) of the message
- Receiving account holder
- Payment amount

Available to: Account holder and Authorised Settlement Agent

Exists as standard report: Yes

Can be used for: Search for messages through which Payment instructions were modified

### 1.3.10 Details of Payment instruction other than request for Payment

Designation: MI002 – Manage FSI instruction detail

Choices:	<ul style="list-style-type: none"> <li>- Specific or all senders. For standing Liquidity Transfers, RIX-RTGS is the sender.</li> <li>- RIX-RTGS internal reference number of the Payment instruction (or interval of such numbers)</li> <li>- Sender's reference number for the Payment instruction (field :20) (or interval of such numbers). A specific sender must have been selected.</li> </ul>
Content:	<ul style="list-style-type: none"> <li>- All details in the message using RIX-RTGS internal field codes.</li> <li>- Life cycle of the internal Payment instruction with information on the time of status changes</li> <li>- Notifications sent</li> </ul>
Available to:	Account holder and Authorised Settlement Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Search for messages through which Payment instructions were modified

#### 1.3.11 List of Payment instructions including customer payment information

Designation:	FS010 – FSI list including customer payment information
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Choices:

- Sort order
  - Time the Payment instruction was processed (standard)
  - Time the Payment instruction was registered
  - Receiving account holder and time the Payment instruction was processed
  - Paying account holder and time the Payment instruction was processed
  - Priority class and time the Payment instruction was processed
- Which settlement processes are to be covered.
  - RTM (standard)
  - LOM
  - Or both
- Sender. For system-generated Payment instructions (interests, charges, etc), RIX-RTGS is the sender.
- Paying account holder
- Receiving account holder
- Specific LOM
- Payment instruction status
- Type of Payment instruction (*CB* = Concurrent Batch, *no PI* = MT 202, *PI*= MT 103)
- Assigning institute (field :52A) – search is only possible if BIC is registered in RIX-RTGS Online by the participant
- End recipient (field :58A) – search is only possible if BIC is registered in RIX-RTGS Online by the participant
- Assigning customer (field :50A) – search is only possible if BIC is registered in RIX-RTGS Online by the participant
- End customer (field :59) – search is only possible if BIC is registered in RIX-RTGS Online by the participant
- Amount interval
- Selection of date, etc.
  - Interval of (intended) Value Days with or without addition of interval of system's internal reference numbers (standard)
  - Time interval, day and (if relevant) time of processing

Content: The following is reported for each Payment instruction

- Assigning institute (field :52A)
- Assigning customer's BIC (field :50A)
- Paying account holder
- Receiving account holder
- End customer's BIC (field :58A)
- End customer's BIC (field :59)
- Whether the Payment instruction is a customer Payment (MT 103)
- RIX-RTGS internal reference number. Hyperlink to Payment instruction details (see 1.3.2)
- Time of processing, provided registration time is not selected. If registration time is selected, this is reported. Registration time regards registration on list of approved Payment instructions in RIX-RTGS.
- Sender's reference number (field 20), preceded by the system's code for the sender and send date (MMDD) of the message
- Receiver code
- Latest status
- Payment amount

The number of messages and total amount are shown in consolidated form.

If sorting of receiving or paying account holder is selected, subtotals of number of messages and amounts are reported per counterpart.

Available to: Account holder

Exists as standard report: No

Can be used for: To search several fields in a Payment instruction and to obtain a list where several of the parties in a message are reported.

## 1.4 Schedule – Operating schedule enquiries

### 1.4.1 Weekdays RIX-RTGS is open

Designation:	SS001 – Funds settlement weekdays
Choices:	
Content:	Specification per weekday whether it is a bank day and whether there is a special schedule for that weekday. The latter is not applied in RIX-RTGS.
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No

### 1.4.2 Holidays when RIX-RTGS is not open

Designation:	SS002 – Funds settlement calendar
Valmöjligheter:	- År och månad
Content:	All days of the month are shown - Those marked in bold are not bank days - Holidays are indicated specifically
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Checking holidays

### 1.4.3 Standard operating schedule

Designation:	SS004 – Standard operating schedule
Choices:	- Value Day or Value Day interval
Content:	The following is indicated for all events in the schedule (incl. start of settlement window): <ul style="list-style-type: none"><li>- Event name</li><li>- When it is expected to start</li><li>- What calendar day it occurs (current or previous)</li><li>- How the time point is determined (fixed, before/after another event)</li><li>- Any other event the time point is determined in relation to</li><li>- Any time before or after another event</li><li>- Minimum amount of time the event shall be in progress (if schedule is changed)</li></ul>

Available to: Account holder and Authorised Settlement Agent  
Exists as standard report: No  
Can be used for: Checking the normal schedule

#### 1.4.4 Actual schedule

Designation: SS005 – Actual operating schedule  
Choices: - Value Day  
Content: The following is indicated for all events in the schedule (incl. start of settlement window):  
- Event name  
- When it is expected to start  
- When it actually started  
- When it actually ended  
- Any time before or after another event  
- Minimum amount of time the event shall be in progress (if schedule is changed)  
Available to: Account holder and Authorised Settlement Agent  
Exists as standard report: Yes  
Can be used for: Checking any change in the schedule

## 1.5 Credits – Credit facility enquiries

### 1.5.1 List of credit limit messages

Designation:	CF001 – Credit facility instruction list
Choices:	<ul style="list-style-type: none"><li>- Sender</li><li>- Whether all or just a specific type of message should be reported</li><li>- Interval of (intended) Value Days with or without addition of interval of system’s internal reference numbers (standard)</li><li>- Time interval, day and (if relevant) time of processing</li></ul>
Content:	<p>The following is reported for each message</p> <ul style="list-style-type: none"><li>- RIX-RTGS internal reference number. Hyperlink to details (see 1.5.2)</li><li>- Sender’s reference number, preceded by the system’s code for the sender and send date (MMDD) of the message</li><li>- Message type – FMC8 refers to credit limit update, FMCB refers to information on pledged collateral, etc.</li><li>- Message status</li><li>- Description of any credit limit</li><li>- Any limit amount (equal to collateral value)</li><li>- Time of processing in RIX-RTGS</li></ul>
Available to:	Account holder
Exists as standard report:	Yes
Can be used for:	What limit applied at previous time point.

### 1.5.2 Credit facility message details

Designation:	CF002 – Credit facility instruction detail
Choices:	<ul style="list-style-type: none"><li>- Specific or all senders. Normally, Colin is the sender for this type of message</li><li>- RIX-RTGS internal reference number of the Payment instruction (or interval of such numbers)</li><li>- Sender’s reference number for the Payment instruction (or interval of such numbers). A specific sender must have been selected.</li></ul>
Content:	<ul style="list-style-type: none"><li>- All details in the message using RIX-RTGS internal field codes.</li><li>- Information on any limit update due to message</li></ul>

- Notifications sent

Available to: Account holder

Exists as standard report: No

Can be used for: Checking change to limit

### 1.5.3 List of credit facilities

Designation: CF003 – Bank’s credit facility list

Choices: - Value Day  
- Time of day – current, start or end

Content: The following is reported for the actual credit capacity (limit)

- whether it applies overnight (*Interday*)
- total amount
- utilised amount
- remaining capacity (unutilised amount)

Exists as standard report: Yes

Can be used for: Checking applicable limit. Alternately, Position monitor can be used.

### 1.5.4 Credit utilisation

Designation: CF004 – Credit facility utilisation

Choices: - Value Day

Content: The following is reported for each change or utilisation of credit capacity

- Time
- Type of event – revalued or utilised
- Amount changed or utilised
- Total credit capacity (limit)
- Utilised credit capacity
- Remaining credit capacity

Available to: Account holder

Exists as standard report: Yes

Can be used for: Analysing credit utilisation

### 1.5.5 Utilisation per credit facility

Designation: CF005 – Credit facility utilisation per credit facility item

Choices: - Value Day

Content: Corresponds to CF004 in RIX-RTGS (see 1.5.4)

Available to: Account holder

Exists as standard report: No

## 1.6 Participants and processes – Settlement participant and agreement enquiries

### 1.6.1 List of participants and roles

Designation:	AD001- List of institutions and settlement roles
Choices:	<ul style="list-style-type: none"><li>- Whether all participants are to be reported or just a specific role</li><li>- Participant (<i>Institution</i>)</li><li>- Authorised Settlement Agent (<i>Authorised Settlement Agent</i>)</li><li>- Account holder (<i>Settlement bank</i>)</li><li>- RIX-RTGS provider (<i>Settlement provider</i>)</li><li>- Technical Authorised Settlement Agent (<i>Technical infrastructure Agent</i>)</li><li>- Collateral Authorised Settlement Agent (<i>External collateral Agent</i>)</li></ul> <p>The last three roles are special roles of the central bank.</p>
Content:	<p>List of all</p> <p>The following is specified for each participant and role</p> <ul style="list-style-type: none"><li>- BIC</li><li>- Short name</li><li>- Name</li><li>- Date the participant or role became active</li><li>- To which participant the role belongs</li></ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Information on who are participants in RIX-RTGS and their roles.

### 1.6.2 Account holder details

Designation: AD002 – Settlement Bank detail



Choices:	<ul style="list-style-type: none"> <li>- Account holder</li> <li>- Whether everything should be reported</li> <li>- Whether contact details should be reported</li> <li>- Whether additional information (meta data) should be reported</li> <li>- Whether LOM participation is to be reported (only for own institution)</li> <li>- Whether notification rules should be reported (only for own institution)</li> <li>- Whether basic information (role player profile) should be reported</li> <li>- Whether Authorised Settlement Agent agreement participation should be reported (only for own institution)</li> <li>- Whether selection of standard reports should be reported (only for own institution)</li> <li>- Time interval the information should be for</li> </ul>
Content:	All information available in RIX-RTGS is reported for the selection made. If the selected account holder is the same institution, only certain public information is reported.
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Checking information on your own institution. Learning contact information of other account holders.

### 1.6.3 RIX-RTGS provider details

Designation:	AD005 – Settlement provider detail
Choices:	Value Day the information should be for
Content:	Certain information on the central bank as RIX-RTGS provider
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Finding contact information

### 1.6.4 Authorised Settlement Agent details

Designation:	AD006 – Authorised Settlement Agent detail
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Choices:	<ul style="list-style-type: none"> <li>- Authorised Settlement Agent</li> <li>- Whether everything should be reported</li> <li>- Whether contact details should be reported</li> <li>- Whether additional information (meta data) should be reported</li> <li>- Whether notification rules should be reported (only for own institution if it is Authorised Settlement Agent)</li> <li>- Whether basic information (role player profile) should be reported</li> <li>- Whether Authorised Settlement Agent agreement participation should be reported (only for own institution if it is Authorised Settlement Agent)</li> <li>- Whether standard reports should be reported (only for own institution if it is Authorised Settlement Agent)</li> <li>- Time interval the information should be for</li> </ul>
Content:	All information available in RIX-RTGS is reported for the selection made. If the selected Authorised Settlement Agent is the same institution, only certain public information is reported.
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Checking information on your own institution. Learning contact information for an Authorised Settlement Agent.

#### 1.6.5 Details on LOM settlement processes

Designation:	AD008 – LOM agreement detail
Choices:	<ul style="list-style-type: none"> <li>- Whether all LOM are to be reported or just a specific one</li> <li>- Time interval the information should be for</li> </ul>
Content:	<p>The following is reported for each LOM</p> <ul style="list-style-type: none"> <li>- Parameters governing the LOM in question (compare appendix 4 of RIX-RTGS instructions)</li> <li>- Which RIX-RTGS participants are involved</li> </ul>
Available to:	Account holder
Exists as standard report:	No
Can be used for:	Checking which RIX-RTGS participants are involved in a specific LOM

#### 1.6.6 Authorised Settlement Agent agreement details

Designation:	AD009 – Settlement agreement detail
Choices:	<ul style="list-style-type: none"><li>- Whether all Authorised Settlement Agent agreements are to be reported or just a specific one</li><li>- Time interval the information should be for</li><li>- Information to be reported<ul style="list-style-type: none"><li>- All information</li><li>- Parameters, etc. of the Authorised Settlement Agent agreement</li><li>- Participants in Authorised Settlement Agent agreement</li></ul></li></ul>
Content:	Available information is reported based on selections made, including: <ul style="list-style-type: none"><li>- Parameters (see appendix 4 of RIX-RTGS instructions)</li><li>- Notification to Authorised Settlement Agent</li><li>- Participants</li></ul>
Available to:	Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Checking what applies for the Authorised Settlement Agent agreement

#### 1.6.7 Company/authority details

Designation:	AD012 – Institution detail
Choices:	<ul style="list-style-type: none"><li>- Whether all companies/authorities are to be reported or just a specific one</li></ul>
Content:	The following is reported for each company/authority selected <ul style="list-style-type: none"><li>- Basic information</li><li>- In what roles the company/authority participates in RIX-RTGS</li></ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Information on RIX-RTGS participants

#### 1.6.8 Settlement bank authorised participant detail

Designation: AD018 – Settlement bank authorised participant detail

Choices: - The time interval the information is to refer to

Contents: - Basic data on Extra BIC

Available to: Account holder

Exists as standard report: No

Can be used for: Information on the RIX-RTGS participant

## 1.7 Charges – Charges enquiries

### 1.7.1 Charges levied

Designation:	CH001 - Charges levied
Choices:	- The period the report is to cover
Content:	The following is reported for each type of message/instruction <ul style="list-style-type: none"><li>- Number</li><li>- Charge per piece</li><li>- Calculated charge</li></ul> The calculated charge is totalled <ul style="list-style-type: none"><li>- Per charge group</li><li>- Consolidated</li></ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	Yes
Can be used for:	Checking accrued charges

### 1.7.2 Charge structure

Designation:	CH002 - Charges structure
Choices:	- Individual charge group or consolidated
Content:	The following is reported per charge group for each message/instruction <ul style="list-style-type: none"><li>- Charge per message/instruction</li></ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Checking applicable charge structure.

### 1.7.3 Changes in charge structure

Designation:	CH004 - Charges structure changes
Choices:	- The period the report is to cover
Content:	The following is reported per charge group for each message/instruction <ul style="list-style-type: none"><li>- Charge per message/instruction</li><li>- Time the charge started to apply</li><li>- Any changes in charges</li></ul>

Available to: Account holder and Authorised Settlement Agent

Exists as standard report: No

Can be used for: Checking any changes in charge structure

## 1.8 Statistics – Statistical enquiries

### 1.8.1 Basic statistics

Designation:	ST002 – System basic statistics
Choices:	- Time interval (Value Day)
Content:	Shows the number of messages of different types sent/registered by the participant per settlement window.
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	Yes
Can be used for:	Simple statistics

### 1.8.2 Reconciliation report

Designation:	ST009 – End of day settlement reconciliation
Choices:	- Value Day
Content:	The following is reported for each account and consolidated: <ul style="list-style-type: none"><li>- Opening and closing balance</li><li>- Payment instructions debited and credited (categorised into own messages, Authorised Settlement Agent messages and system-generated messages), value and quantity</li><li>- Liquidity Transfer instructions debited and credited (categorised into own Instructions , Authorised Settlement Agent Instructions and system-generated Instructions ), value and quantity</li><li>- Any outstanding loans overnight</li><li>- Paid and repaid loans, values and quantity</li><li>- Non-settled own Payment instructions categorised into invalid, discarded and removed, values and quantity</li></ul>
Available to:	Account holder
Exists as standard report:	Yes
Can be used for:	Settlement and statistics

## 1.9 Messages and system log – System and message log enquiries

### 1.9.1 List of requested enquiries and reports

Designation:	EQ001 – Extract and report list
Choices:	<ul style="list-style-type: none"><li>- Whether all types should be reported or only extract or enquiries</li><li>- Type of enquiry</li><li>- Value Day the information should be for</li></ul>
Content:	<p>The following is specified for each message</p> <ul style="list-style-type: none"><li>- Message type</li><li>- RIX-RTGS internal reference number</li><li>- Senders reference number</li><li>- Status</li><li>- Time of registration</li></ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	SM008 (see 1.9.5) can be more useful.

### 1.9.2 List of available enquiries/reports

Designation:	EQ002 – Available reports list
Choices:	<ul style="list-style-type: none"><li>- Whether all enquiries/reports are to be presented or only ad-hoc enquiries or standard reports</li><li>- Value Day the information should be for</li></ul>
Content:	<p>The following is presented for each enquiry/report</p> <ul style="list-style-type: none"><li>- Report code</li><li>- Report name</li><li>- Whether it is a standard report</li><li>- When the enquiry/report became available</li></ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Finding all enquiries/reports in one place

### 1.9.3 List of messages with errors

Designation:	SM002 – Message error list
Choices:	<ul style="list-style-type: none"><li>- Interval of Value Days</li></ul>



Content:	The following is reported for each message RIX-RTGS could not interpret: <ul style="list-style-type: none"> <li>- Value Day</li> <li>- Message type</li> <li>- Time of receipt</li> <li>- RIX-RTGS internal reference number. Hyperlink to 1.9.4.</li> <li>- Sender's reference number (field 20), preceded by the system's code for the sender and send date (MMDD) of the message</li> <li>- Description of error</li> </ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Finding Payment instructions that have not been settled or that are not on the Payment instruction list (FS001)

#### 1.9.4 Message error details

Designation:	SM003 – Message error detail
Choices:	RIX-RTGS internal reference number
Content:	<ul style="list-style-type: none"> <li>- Certain details in the message using RIX-RTGS internal field codes.</li> <li>- SWIFT message content</li> </ul>
Available to:	- Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Finding Payment instructions that have not been settled.

#### 1.9.5 List of received messages

Designation:	SM008 – Incoming message list
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- Choices:
- Sort order
    - RIX-RTGS internal reference number
    - Registration date and time (standard)
  - Source of message (requires that sorting was by RIX-RTGS internal reference number)
    - Administrative messages/instructions
    - Payment instruction via SWIFT (Payment instructions registered in RIX-RTGS Online are normally converted to SWIFT messages)
  - System-generated messages
    - Messages registered in RIX-RTGS Online (*Web*) except those converted to SWIFT messages
  - Message type selection (requires that sorting was by registration date and time)
    - Message group
    - Detailed message type
    - Message status
  - Time interval selection
    - Value Day combined with calendar date when the message was received, with a specified time interval (if so required)
    - Send date, if relevant combined with interval of sender's reference code (field :20) (requires that sorting was by registration date and time)

Content: The following is reported for each message received:

- Sender
- Message type
- RIX-RTGS internal reference number. Hyperlink to 1.9.6.
- Sender's reference number (field 20), preceded by the system's code for the sender and send date (MMDD) of the message
- Message status. Processed means that the message was approved.
- Time point the incoming message was received

If sorting is by RIX-RTGS internal reference number and source is set to all or Payment instructions via SWIFT, the following is also reported

- Status as approved Payment instruction
- Time this status was set

Available to: Account holder and Authorised Settlement Agent

Exists as standard report: No

Can be used for: Finding Payment instructions that have not been settled or that are not on the Payment instruction list (FS001)

#### 1.9.6 Incoming message details

Designation: SM009 – Incoming message detail

Choices:

- Specific or all senders. For system-generated Payment instructions (interests, charges, etc) and standing Liquidity Transfers, RIX-RTGS is the sender.
- RIX-RTGS internal reference number of the Payment instruction (or interval of such numbers)
- Sender's reference number for the Payment instruction (field :20) (or interval of such numbers). A specific sender must have been selected.

Content: The following is reported for each message

- All details in the message using RIX-RTGS internal field codes.
- The content of any SWIFT message
- Life cycle of the message with information on the time of status changes
- Notifications sent as the result of the message.

Available to: Account holder and Authorised Settlement Agent

Exists as standard report: No

Can be used for: Finding Payment instructions that have not been settled or that are not on the Payment instruction list (FS001)

## 1.10 Systems and installation parameters – System and installation parameter enquiries

### 1.10.1 List of receiver codes

Designation:	SM012 – Receiver codes list
Choices:	- Interval of Value Days the information should be for
Content:	The following is reported for each receiver code <ul style="list-style-type: none"><li>- Code</li><li>- Description</li><li>- Any registered change during the selected time</li></ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	Yes
Can be used for:	Checking which receiver codes are in the system. The report does not show which settlement processes they are linked to. This is indicated in appendix 3 of RIX-RTGS instructions.

## 1.11 Own profile – Settlement bank’s profile enquiries

### 1.11.1 List of own profile messages

Designation:	OP001 – Own profile instruction list
Choices:	<ul style="list-style-type: none"><li>- Sender</li><li>- Whether all or just a specific type of message should be reported</li><li>- Participant (only for Authorised Settlement Agent, if its Authorised Settlement Agent agreement covers Liquidity Transfers and/or bilateral limits)</li><li>- Value Day combined with calendar date when the message was received, with a specified time interval (if so required)</li><li>- Send date, if relevant combined with interval of sender’s reference codes (provided that sender was selected)</li></ul>
Content:	<p>The following is reported for each message</p> <ul style="list-style-type: none"><li>- Sender</li><li>- Message type</li><li>- RIX-RTGS internal reference number. Hyperlink to 1.11.2.</li><li>- Sender’s reference number, preceded by the system’s code for the sender and send date (MMDD) of the message</li><li>- Message status</li><li>- Time registered as incoming message</li></ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	Yes
Can be used for:	Searching for messages registered as Own profile instructions (standing Liquidity Transfers, standing bilateral limits, etc.)

### 1.11.2 Details for own profile messages

Designation:	OP002 – Own profile instruction detail
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Choices:	<ul style="list-style-type: none"> <li>- Specific or all senders. For standing Liquidity Transfers, RIX-RTGS is the sender.</li> <li>- Participant (only for Authorised Settlement Agent, if its Authorised Settlement Agent agreement covers Liquidity Transfers and/or bilateral limits)</li> <li>- RIX-RTGS internal reference number of the Payment instruction (or interval of such numbers)</li> <li>- Sender's reference number for the Payment instruction (or interval of such numbers). A specific sender must have been selected.</li> </ul>
Content:	<ul style="list-style-type: none"> <li>- All details in the message using RIX-RTGS internal field codes.</li> <li>- Life cycle of the message with information on the time of status changes</li> <li>- Notifications sent</li> </ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as standard report:	No
Can be used for:	Searching for messages registered as Own profile instructions (standing Liquidity Transfers, standing bilateral limits, etc.)

### 1.11.3 Standing arrangement for Liquidity Transfers

Designation:	OP003 – Standing intra-account funding arrangements
Choices:	<ul style="list-style-type: none"> <li>- Interval of Value Days the information should be for</li> </ul>
Content:	<p>Standard arrangement (default), arrangement for a specific weekday and temporary arrangement for a specific day (<i>override</i>) are reported for the selected period.</p> <p>All constituent Liquidity Transfers are reported for each arrangement.</p> <p>The following is reported for each Liquidity Transfer</p> <ul style="list-style-type: none"> <li>- From and to which account funds are to be transferred</li> <li>- The manner in which the transfer should be done</li> <li>- Requested transfer amount</li> <li>- At what time or in connection to what event the transfer should be done</li> <li>- When transfer should begin</li> <li>- When it ends, if relevant</li> <li>- Who was responsible for final registration of the Liquidity Transfer</li> <li>- When the registration was processed in RIX-RTGS</li> </ul>

Available to:	Account holder and Authorised Settlement Agent (only if the Authorised Settlement Agent agreement covers Liquidity Transfers)
Exists as standard report:	No
Can be used for:	Checking applicable arrangement for standing Liquidity Transfers

#### 1.11.4 Standing bilateral limit arrangements

Designation:	BL001 – Standing bilateral limit arrangements
Choices:	<ul style="list-style-type: none"> <li>- Whether a specific counterpart or all counterparts should be reported</li> <li>- Whether RTM limits should be reported (can not be used)</li> <li>- Whether LOM limits should be reported (must be included)</li> <li>- Whether a specific LOM or all LOMs should be reported (only RIX LOM can be used)</li> <li>- Interval of Value Days the information should be for</li> </ul>
Content:	<p>For each relevant settlement process, standard arrangement (default), arrangement for a specific weekday and temporary arrangement for a specific day (<i>override</i>) are reported for the selected period.</p> <p>The following is reported for each limit</p> <ul style="list-style-type: none"> <li>- Counterpart</li> <li>- Limit amount</li> <li>- Time point or event when the limit starts to apply</li> <li>- What day the limit starts to apply</li> <li>- When it ends, if relevant</li> <li>- Who was responsible for final registration of the limit</li> <li>- When the registration was processed in RIX-RTGS</li> </ul>
Available to:	Account holder and Authorised Settlement Agent (only if the Authorised Settlement Agent agreement covers bilateral limits)
Exists as standard report:	No
Can be used for:	Checking standing bilateral limits

#### 1.11.5 List of bilateral limit instructions

Designation:	BL002 – Bilateral limit instructions
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Choices:	<ul style="list-style-type: none"> <li>- Whether a specific counterpart or all counterparts should be reported</li> <li>- Whether RTM limits should be reported</li> <li>- Whether LOM limits should be reported</li> <li>- Whether a specific LOM or all LOMs should be reported</li> <li>- Interval of Value Days the information should be for (future dates cannot be used)</li> </ul>
Content:	<p>The following is reported for the applicable bilateral limits of the selected period</p> <p>The following is reported for each limit</p> <ul style="list-style-type: none"> <li>- Counterpart</li> <li>- When the limit was registered (the registration time of a standing limit is the time the Value Day opens, which is normally the prior calendar date)</li> <li>- Time point or event when the limit starts to apply</li> <li>- RIX-RTGS internal reference number. Hyperlink to 1.9.6.</li> <li>- Senders reference number</li> <li>- Who was responsible for final registration of the limit. RTGS is indicated for a standing limit.</li> <li>- When the limit was processed.</li> <li>- If the limit was removed, who was responsible for final registration of removal, when the removal was processed and the reason given for the change are reported.</li> </ul>
Available to:	Account holder and Authorised Settlement Agent (only if the Authorised Settlement Agent agreement covers bilateral limits)
Exists as standard report:	No
Can be used for:	Checking which bilateral limits apply or applied.

#### 1.11.6 Current bilateral position

Designation:	BL003 – Current bilateral position
Choices:	<ul style="list-style-type: none"> <li>- Whether a specific counterpart or all counterparts should be reported</li> <li>- Whether RTM limits should be reported</li> <li>- Whether LOM limits should be reported</li> <li>- Whether a specific LOM or all LOMs should be reported</li> </ul>



Content:	<p>The following is reported for each settlement process and counterpart</p> <ul style="list-style-type: none"> <li>- Actual bilateral limit</li> <li>- Settled Payment instructions to counterpart on current Value Day</li> <li>- Settled Payment instructions from counterpart on current Value Day</li> <li>- The bilateral position calculated as settled Payment instructions from the counterpart minus settled Payment instructions to the counterpart</li> <li>- The bilateral capacity calculated as the sum of the actual bilateral limit and the bilateral position</li> <li>- Payment instructions in the liquidity queue to the counterpart (not included in the calculations above)</li> </ul>
Available to:	Account holder and Authorised Settlement Agent (only if the Authorised Settlement Agent agreement covers bilateral limits)
Exists as standard report:	No
Can be used for:	Checking what capacity is available within the applicable bilateral limit.

## 2 Standard reports in RIX-RTGS Online

Each RIX-RTGS participant can choose for each day to have standard reports. There are no other choices for standard reports other than frequency, daily or monthly, in certain cases.

<u>Report</u>	<u>Designation:</u>	<u>Authorised Settlement Agent</u>	<u>Ref.</u>
Account statement	AC001 - Account statement AC002 - Loan account statement AC003 - LOM account statement	No	1.1
Events in RIX-RTGS Access	CA007 - Access event and change log	Yes	2.1
List of credit limit messages	CF001 - Credit facility instruction list	No	1.5
List of credit facilities	CF003 - Bank's credit facility list	No	1.5.3
Credit utilisation	CF004 - Credit facility utilisation	No	1.5.4
Charges levied	CH001 - Charges levied	Yes	1.7
List of Payment instructions	FS001 - Funds settlement instruction list	Yes	1.3
List of Concurrent Batches	FS004 - Concurrent batch summary	Yes, only	1.3.3
List of Liquidity Transfers	FS006 - Intra-account transfer instruction list	No	1.3.5
List of Payment instructions other than request for Payment	MI001 - Manage FSI instruction list	Yes	1.3.9
List of own profile messages	OP001 - Own profile instruction list	Yes	1.11
Volumes for current FSVD	PR011 - Bank's position: Volumes for current FSVD	No	1.2.5
Conditional Release Mechanism categorised by type of condition	PR012 - Bank's position: Diarised per reason	No	1.2.6
Conditional Release Mechanism per Value Day	PR013 - Bank's position: Diarised per FSVD	No	1.2.7
Account movements	PR014 - Bank's position: Account movements	No	1.2.8
Actual schedule	SS005 - Actual operating schedule	Yes	1.4.4
Payment instructions per sender	ST001 - FSI volumes and values per originator	Yes	2.3
Basic statistics	ST002 - System basic statistics	Yes	1.8
Reconciliation report	ST009 - End of day settlement reconciliation	No	1.8.2

SWIFT (MT 950) account statement

SW001 - Final electronic settlement account statement No

2.2.1

SW002 - Final electronic loan account statement

SW003 - Final electronic LOM account statement

SW007 – Final electronic consolidated account statement

## 2.1 Access reports

### 2.1.1 Events in RIX-RTGS Access

Designation:	CA007 - Access event and change log
Choices:	-
Content:	The following is reported for each event <ul style="list-style-type: none"><li>- Time</li><li>- Relevant application</li><li>- Event</li><li>- Category affected, e.g. user</li><li>- ID of affected, e.g. user</li><li>- Who was responsible for final registration of the event/change</li><li>- Reason for edit</li></ul>
Available to:	Account holder and Authorised Settlement Agent
Exists as enquiry:	Only in RIX-RTGS Access
Can be used for:	Checking logins and authorisation changes

## 2.2 Account statement

### 2.2.1 SWIFT (MT950) account statement

Designation:	SW001 – Final electronic settlement account statement SW002 – Final electronic loan account statement SW003 – Final electronic LOM account statement SW007 – Final electronic consolidated account statement
Choices:	There is a choice of four different reports  SW001 generates one or more account statements for the Settlement Account  SW002 generates one or more account statements for the loan account  SW003 generates one or more account statements for each LOM account  SW007 generates a consolidated account statement for the Settlement Account and LOM accounts
Content:	As per the standard for MT 950, field :61 has the following content: <ul style="list-style-type: none"><li>- Value Day (YYMMDD)</li><li>- Registration date (MMDD)</li><li>- Marking of debit or credit (D or C)</li><li>- Payment amount</li><li>- Transaction type – NCHG = charge, NINT = interest, NLDP = loan paid out, NTRF = Payment</li><li>- Sender's reference (field :20)</li><li>- RIX-RTGS internal reference number preceded by //</li><li>- Row change</li><li>- Sequence number of account statement</li><li>- Counterpart's BIC (11 positions)</li><li>- Authorised Settlement Agent agreement number (4 positions preceded by zeros; only zeros if not sent by Authorised Settlement Agent)</li><li>- Sequence number of any Concurrent Batch (6 positions preceded by zeros; only zeros if not part of Concurrent Batch)</li><li>- Clearing code</li><li>- Process number (only for SW007)</li></ul>
Available to:	Account holder
Exists as enquiry:	No

Can be used for: Settlement, etc.

## 2.3 Statistic reports

### 2.3.1 Payment instructions per sender

Designation:	ST001 - FSI volumes and values per originator
Choices:	-
Content:	Quantity and value of Payment instructions categorised by sender
Available to:	Account holder and Authorised Settlement Agent
Exists as enquiry:	No
Can be used for:	Simple statistics

### 3 List of enquiries and reports

<u>Enquiry/report</u>	<u>Page</u>
AC001 Account statement (Settlement account)	4
AC002 Account statement (Loan account)	4
AC003 Account statement (LOM account)	4
AC004 Interest receivable by the central bank	4
AC008 Interest rates	5
AC 009 Interest payable by the central bank to the settlement bank	5
AD001 List of institutions and settlement roles	32
AD002 Settlement bank detail	32
AD005 Settlement provider detail	33
AD006 Authorised Settlement Authorised Settlement Agent detail	33
AD008 LOM agreement detail	34
AD009 Settlement agreement detail	35
AD012 Institution detail	35
AD018 Settlement bank authorized participant detail	36
BL001 Standing bilateral limit arrangements	47
BL002 Bilateral limit instructions	47
BL003 Current bilateral position	47
CA007 Access event and change log	52
CF001 Credit facility instruction list	29
CF002 Credit facility instruction detail	29
CF003 Bank's credit facility list	30
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CF005 Credit facility utilisation per credit facility item	31
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EQ001 Extract and report list	40
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FS004 Concurrent batch summary	18
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FS006 Intra-account transfer instruction list	19
FS007 Intra-account transfer instruction detail	20
FS008 Settlement sequence of queued LOM instructions	21
FS009 Release sequence of diarised instructions	22
FS010 FSI list including customer payment information	24
LM001 Bank's consolidated LOM position	6
LM004 Queued and diarised FSIs listed per priority	7
MI001 Manage FSI instruction list	23



<u>Enquiry/report</u>	<u>Page</u>	
MI002	Manage FSI instruction detail	23
OP001	Own profile instruction list	44
OP002	Own profile instruction detail	44
OP003	Standing intra-account funding arrangements	45
PR001	Settlement bank's consolidated position	7
PR010	Bank's position: Consolidated view	9
PR011	Bank's position: Volumes for current FSVD	11
PR012	Bank's position: Diarised per reason	11
PR013	Bank's position: Diarised per FSVD	12
PR014	Bank's position: Account movements	13
PR016	Industry position: Total volumes and	13
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PR091	Industry position: List of eligible FIs for RTGS settlement	14
PR092	Industry position: List of eligible FIs for RTGS settlement	14
SM002	Message error list	39
SM003	Message error detail	40
SM008	Incoming message list	40
SM009	Incoming message detail	42
SM012	Receiver codes list	43
SS001	Funds settlement weekdays	27
SS002	Funds settlement calendar	27
SS004	Standard operating schedule	27
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ST001	FSI volumes and values per originator	54
ST002	System basic statistics	38
ST009	End of day settlement reconciliation	38
SW001	Final electronic settlement account statement	52
SW002	Final electronic loan account statement	52
SW003	Final electronic LOM account statement	52
SW007	Final electronic consolidated account statement	52